



ACADEMIC
PRESS

Available at
WWW.MATHEMATICSWEB.ORG
POWERED BY SCIENCE @ DIRECT®

Journal of Number Theory 102 (2003) 107–117

JOURNAL OF
**Number
Theory**

<http://www.elsevier.com/locate/jnt>

“Weak” congruences for coefficients of the Eisenstein series for $\mathbb{F}_q[T]$ of weight $q^k - 1$

José Gallardo and Bartolomé López^{*,1}

Departamento de Matemáticas, Universidad de Cádiz, Polígono Río San Pedro s/n, ES-11510 Puerto Real (Cádiz), Spain

Received 13 August 2002; revised 6 November 2002

Communicated by D. Goss

Abstract

We study the expansion of the Eisenstein series for $\mathbb{F}_q[T]$ of weight $q^k - 1$, $k \in \mathbb{N}$, and using the fact that they are eigenfunctions for the Hecke operators, we prove congruences for some of their coefficients.

© 2003 Elsevier Science (USA). All rights reserved.

MSC: 11G09; 11F52

Keywords: Drinfeld modular forms; Hecke operators

Introduction

In two previous works (cf. [3,5]), the existence of congruences for the coefficients of two distinguished Drinfeld modular forms, the discriminant function Δ and its $(q - 1)$ th root h , was proven. In both cases, the result was obtained using the fact that those modular forms are eigenfunctions for the action of the Hecke operators; the congruences allowed to prove empirical rules (obtained from examples) for some coefficients of both modular forms. In the present work, using the same basic argument, we prove that there exist (weaker) similar congruences for the Eisenstein

*Corresponding author. Fax: +34-956-016288.

E-mail address: bartolome.lopez@uca.es (B. López).

¹The second author thanks the Ministerio de Ciencia y Tecnología of Spain (project BFM2001-1488-C02-C01) for financial support.

series of weight $q^k - 1$, $k \in \mathbb{N}$ (compare Theorem 2 with Theorem 2.4 of [3] and Theorem 1 of [5]). Using these “weak” congruences, the coefficients $a_{q^{d+k}+q^{k-1}+\dots+1}$ of the Eisenstein series of weight $q^k - 1$, for $d \in \mathbb{N}$, are determined up to a factor of degree $q^k - q$ (see Corollary 7).

The proof of the main result of this work, the congruences of Theorem 2, follows the same scheme as the proof of the congruences in [5]. Nevertheless, there are three differences, which are essential ingredients of the present proof. First, due to the fact that there exist no “strong” congruences in this case (see Remark 6), Hecke operators $T_{\mathfrak{p}^m}$ associated to m th powers of an irreducible polynomial \mathfrak{p} have to be used instead of simple operators $T_{\mathfrak{p}}$. Secondly, the known property $a_n \neq 0 \Rightarrow n \equiv 0, 1 \pmod q$, satisfied by the Eisenstein series of weight $q^k - 1$ (cf. [2, Proposition 6.10, p. 684]), has to be replaced by the property stated in Proposition 1. Third, in order to arrive to our conclusion, a more detailed study of the multinomial coefficients $\frac{(i_0+\dots+i_{md})!}{i_0! \dots i_{md}!} \pmod p$, where p is the characteristic of \mathbb{F}_q , has to be done (see Lemma 4).

Here, the case of the coefficients $a_{q^{d+k}+q^{k-1}+\dots+1}$ of the Eisenstein series of weight $q^k - 1$ has been dealt with. There are two directions in which this work may be continued. The first one is to extend our result to the Eisenstein series of weight $r(q - 1)$, $r \in \mathbb{N}$. The second, to study other coefficients of the Eisenstein series; for example, it seems that there exist (empirical) rules for the coefficients $a_{q^{d+k}}$ and $a_{q^{d+k}+q^{k-1}+\dots+q^l}$, $l = 0, 1, \dots, k - 1$, of the Eisenstein series of weight $q^k - 1$; a first problem would be to prove these rules. We think that, in both cases, new arguments should be brought up in order to extend our result. The reason being, the Goss polynomials (the basic tool used here) are inefficient in some examples and furthermore, handling these polynomials becomes much more complicated in the general situation than in the case dealt with in this work.

1. Preliminaries

Let $A := \mathbb{F}_q[T]$ be the ring of polynomials over the finite field \mathbb{F}_q and let $K := \mathbb{F}_q(T)$ be its quotient field. We consider the completion $K_\infty := \mathbb{F}_q((1/T))$ of K at the place ∞ , and the completed algebraic closure of K_∞ , $C := \tilde{K}_\infty$.

Let $C\{\tau\}$ be the ring of non-commutative polynomials over C , where τ is the Frobenius endomorphism; the product in $C\{\tau\}$ satisfies the rule $\tau\alpha = \alpha^q\tau$, $\alpha \in C$. The ring $C\{\tau\}$ can be identified with the ring of q -additive polynomials $\sum_{i=0}^l c_i X^{q^i}$, where the product is given by substitution.

A *Drinfeld module* of rank r over C is a ring \mathbb{F}_q -homomorphism $\phi : A \rightarrow C\{\tau\}$ determined by

$$\phi_T = T\tau^0 + \sum_{i=1}^r c_i \tau^i,$$

where $c_i \in C$, $c_r \neq 0$. Two modules ϕ, ϕ' are *isomorphic* if there exists an element $u \in C^*$ such that $u \cdot \phi_a = \phi'_a \cdot u$ for any $a \in A$.

An A -lattice in C of rank r is a discrete free A -module $\Lambda \subset C$ of rank r . The exponential function

$$e_\Lambda(z) = z \prod_{\lambda \in \Lambda - \{0\}} (1 - z/\lambda)$$

can be associated to Λ . Through this function, a Drinfeld module of rank r can be constructed. This construction establishes a bijection between the set of lattices of rank r in C and the set of Drinfeld modules of rank r over C .

The *Carlitz module* is the rank one module determined by

$$\rho_T = T\tau^0 + \tau = TX + X^q.$$

Let $L = \bar{\pi}A$ be the lattice in C corresponding to ρ (the element $\bar{\pi} \in C$ is determined up to a unit of A). From the exponential function e_L associated to L , we define the functions

$$t(z) = e_L(\bar{\pi}z)^{-1} \quad \text{and} \quad s(z) = t(z)^{q-1}.$$

These functions will be used along the work as parameters of the expansion of the Eisenstein series of weight $q^k - 1$.

Let $a \in A$. We consider the polynomial $\rho_a = \sum_{i=0}^{\deg a} l_i X^{q^i}$. Then $l_0 = a$ and the leading coefficient of ρ_a is the leading coefficient of a ; the rest of the coefficients of ρ_a satisfy the recursion

$$l_i = \frac{l_{i-1}^q - l_{i-1}}{[i]}, \tag{1}$$

where $[i] = T^{q^i} - T = \prod_{\substack{\text{monic, prime } \mathfrak{p} \\ \deg \mathfrak{p} \mid i}} \mathfrak{p}$.

The A -lattices of rank two in C are of the form $u(zA + A)$, where $u \in C^*$, $z \in \Omega := C - K_\infty$. Homothetic lattices correspond to isomorphic Drinfeld modules; hence, any Drinfeld module of rank two is isomorphic to one in the form

$$\phi_T = T\tau^0 + g(z)\tau + \Delta(z)\tau^2, \tag{2}$$

where $z \in \Omega$. The functions $g(z)$ and $\Delta(z)$ (on Ω) are *modular forms* for the group $\Gamma(1) := GL(2, A)$ of weights $q - 1$ and $q^2 - 1$, respectively. A function h on Ω is called a *modular form of weight k* for the group $\Gamma(1)$ if it is holomorphic on Ω (in the rigid analytic sense), it has an expansion of the form $h = \sum_{n \geq 0} c_n s(z)^n$ and it satisfies

$$h\left(\frac{az + b}{cz + d}\right) = (cz + d)^k h(z)$$

for every $\begin{pmatrix} a & c \\ b & d \end{pmatrix} \in \Gamma(1)$. The C -vector space of modular forms of weight k is denoted by M_k ; it follows from the definition of modular form that M_k is trivial for $k \not\equiv 0 \pmod{q - 1}$. Distinguished modular forms are the *Eisenstein series* of weight k ,

which are defined as

$$E_k(z) = \sum_{\substack{c,d \in A \\ (c,d) \neq (0,0)}} \frac{1}{(cz + d)^k}. \tag{3}$$

The functions g and Δ considered above can be expressed in terms of Eisenstein series: $g = (T^q - T)E_{q-1}$ and $\Delta = (T^{q^2} - T)E_{q^2-1} + (T^q - T)^q(E_{q-1})^{q+1}$ (cf. [2, Proposition 6.9, p. 684], or [4, Theorem 2.1.1, p. 27]).

In the sequel, the symbols α, \mathfrak{p} will denote *monic* polynomials in A . Let \mathfrak{p} be an irreducible (and monic) polynomial with $\deg \mathfrak{p} = d$. Let $m \in \mathbb{N}$; the *Hecke operators* $T_{\mathfrak{p}^m}$ act on the spaces M_k and this action can be explicitly given on t -expansions of modular forms: if $h = \sum_{n \geq 0} c_n t^n \in M_k$, then

$$T_{\mathfrak{p}^m} \left(\sum_{n \geq 0} c_n t^n \right) = \sum_{i=0}^m \mathfrak{p}^{(m-i)k} \sum_{n \geq 0} c_n G_{n,\mathfrak{p}^i}(\mathfrak{p}^i t_{\mathfrak{p}^{m-i}}), \tag{4}$$

where $t_\alpha(z) = t(\alpha z) = t^{q^{\deg \alpha}} / f_\alpha(t)$, $f_\alpha(X) = \rho_\alpha(X^{-1})X^{q^{\deg \alpha}}$, and G_{n,\mathfrak{p}^i} is the n th *Goss polynomial* with respect to $\ker \rho_{\mathfrak{p}^i}$. The Goss polynomials can be defined by the following recursion formula (cf. [2, p. 323]): let $\rho_\alpha(X) = l_0 X + l_1 X^q + \dots + l_{\deg \alpha} X^{q^{\deg \alpha}}$ and $\alpha_i = l_i / \alpha$; then $G_{n,\alpha} = 0$ for $n \leq 0$, $G_{1,\alpha} = X$ and

$$G_{n,\alpha}(X) = X(G_{n-1,\alpha} + \alpha_1 G_{n-q,\alpha} + \alpha_2 G_{n-q^2,\alpha} + \dots) \text{ for } n > 1.$$

There also exists an explicit formula for the polynomials $G_{n,\alpha}$ that will be used in Section 2.

2. Coefficients of the Eisenstein series E_{q^k-1}

Let us now consider the Eisenstein series of weight $q^k - 1$, E_{q^k-1} (see Eq. (3)). It follows that

$$\pi^{1-q^k} E_{q^k-1}(z) = (-1)^{k+1} L_k^{-1} - \sum_{\alpha \text{ monic}} G_{q^k-1}(t_\alpha), \tag{5}$$

where $L_0 = 1$ and $L_k = [k][k-1] \dots [1]$, and G_{q^k-1} is the Goss polynomial associated to the lattice $L = \pi A$ (cf. [2, Eq. (6.3), p. 682]). An explicit formula for G_{q^k-1} is given by

$$G_{q^k-1} = \sum_{i < k} (-1)^i L_i^{-1} X^{q^k - q^i};$$

this formula is deduced from Proposition (3.10) and Eq. (4.3) of [2]. We conclude that

$$\bar{\pi}^{1-q^k} E_{q^k-1}(z) = (-1)^{k+1} L_k^{-1} - \left(\sum_{i < k} (-1)^i L_i^{-1} \sum_{\mathfrak{a} \text{ monic}} t_{\mathfrak{a}}^{q^k - q^i} \right). \tag{6}$$

From Eq. (6), it is seen that the expansion of E_{q^k-1} as power series in $s(z)$ satisfies that $\bar{\pi}^{1-q^k} E_{q^k-1}(z) = \sum_{n \geq 0} a_n s^n$, with $a_n \in K (= \mathbb{F}_q(T))$.

Proposition 1. *Let $\sum_{n \geq 0} a_n s^n$ be the expansion of E_{q^k-1} . Then $a_n \neq 0$ implies that $n \equiv 0, q^{k-1}, q^{k-1} + q^{k-2}, \dots, q^{k-1} + \dots + q + 1 \pmod{q^k}$.*

Proof. Let $\mathfrak{a} \in A$ be a monic polynomial. For $i \in \mathbb{N}$, we consider

$$t_{\mathfrak{a}}^{q^i-1} = \frac{s^{q^{\deg \mathfrak{a} + i - 1} + \dots + q^{\deg \mathfrak{a}}} f_{\mathfrak{a}}}{f_{\mathfrak{a}}^{q^i}}. \tag{7}$$

It is seen that $f_{\mathfrak{a}}(s) = 1 + b_1 s^{q^{\deg \mathfrak{a} - 1}} + \dots + b_{\deg \mathfrak{a}} s^{q^{\deg \mathfrak{a} - 1} + \dots + q + 1}$, where $b_i \in A$. Hence, if we write $s^{q^{\deg \mathfrak{a} + i - 1} + \dots + q^{\deg \mathfrak{a}}} f_{\mathfrak{a}} = \sum_{n \geq 0} c_n s^n$, then $c_n \neq 0$ implies

$$n \equiv 0, q^{i-1}, q^{i-1} + q^{i-2}, \dots, q^{i-1} + \dots + q + 1 \pmod{q^i},$$

and $t_{\mathfrak{a}}^{q^i-1}$ satisfies the same congruence property. Therefore, from the expression for E_{q^k-1} given in Eq. (6), the result is easily derived. \square

Congruences for some coefficients of the series $\bar{\pi}^{1-q^k} E_{q^k-1}$ are now proven. Observe that, from the formula for E_{q^k-1} given in Eq. (6), it follows that the coefficients considered in Theorem 2 belong to A .

Theorem 2. *Let $\bar{\pi}^{1-q^k} E_{q^k-1}(z) = \sum_{n \geq 0} a_n s^n$ be the expansion of E_{q^k-1} with respect to $s(z)$. Let $\mathfrak{p} \in A$ be irreducible and monic with $\deg \mathfrak{p} = d$. If $d = 1$, then, for each $m \in \mathbb{N}$, we have that*

$$a_{q^{m+k} + q^{k-1} + \dots + 1} \equiv a_{q^k + \dots + q + 1} \pmod{\mathfrak{p}}.$$

If $d > 1$, then, for each $m \in \mathbb{N}$, we have that

$$a_{q^{md+k} + q^{k-1} + \dots + 1} \equiv a_{q^k + \dots + q + 1} \pmod{\mathfrak{p}^{q^k}}.$$

Proof. Let $\bar{\pi}^{1-q^k} E_{q^k-1}(z) = \sum_{n \geq 0} c_n t^n$ be the expansion of E_{q^k-1} with respect to $t(z)$. For each $m \in \mathbb{N}$, we will prove that, if $\deg \mathfrak{p} = 1$, then

$$c_{(q^k + \dots + q + 1)(q-1)} \equiv c_{(q^{m+k} + q^{k-1} + \dots + 1)(q-1)} \pmod{\mathfrak{p}}, \tag{8}$$

and if $\deg p > 1$, then

$$c_{(q^k+\dots+q+1)(q-1)} \equiv c_{(q^{md+k}+q^{k-1}+\dots+1)(q-1)} \pmod{p^{q^k}}. \tag{9}$$

The series E_{q^k-1} is an eigenfunction for all the operators T_a with $a \in A$ monic, and the corresponding eigenvalues are α^{q^k-1} (cf. Proposition 1.4 of [1, p. 95]). Eq. (4) gives the effect of T_{p^m} on t -expansions of modular forms; for the series E_{q^k-1} we get:

$$p^{m(q^k-1)} \left(\sum_{n \geq 0} c_n t^n \right) = p^{m(q^k-1)} \sum_{n \geq 0} c_n t_{p^m}^n + \dots + p^{q^k-1} \sum_{n \geq 0} c_n G_{n,p^{m-1}}(p^{m-1}t_p) + \sum_{n \geq 0} c_n G_{n,p^m}(p^m t). \tag{10}$$

In order to prove the congruences of Eqs. (8) and (9), we determine the $t^{q^{k+1}-1}$ -coefficient of the right-hand side in Eq. (10). For $\deg p = 1$, this term may only appear in $p^{q^k-1} \sum_{n \geq 0} c_n G_{n,p^{m-1}}(p^{m-1}t_p)$ or $\sum_{n \geq 0} c_n G_{n,p^m}(p^m t)$, and for $\deg p > 1$, it may only appear in $\sum_{n \geq 0} c_n G_{n,p^m}(p^m t)$; this claim is proven in Lemma 5.

The $t^{q^{k+1}-1}$ -coefficient of $p^{q^k-1} \sum_{n \geq 0} c_n G_{n,p^{m-1}}(p^{m-1}t_p)$ (for any polynomial p with $\deg p = 1$) is also studied in Lemma 5; we prove that it belongs to A and it is determined mod $p^{m(q^k-1)+1}$. In Lemma 4, we prove that the $t^{q^{k+1}-1}$ -coefficient of $\sum_{n \geq 0} c_n G_{n,p^m}(p^m t)$ (for any irreducible polynomial p) belongs to A , and it is determined mod $p^{m(q^k-1)+q^k}$. From both lemmas, the congruences of Eqs. (8) and (9) are easily derived. \square

The following remark will be used in the proof of Lemmas 4 and 5; it is an immediate consequence of the Lucas formula.

Remark 3. Let $p \in \mathbb{N}$ be a prime and v_p the p -adic valuation on \mathbb{Q} . Let $m, n \in \mathbb{N}$ be such that $n < m$ and $v_p(n) < v_p(m)$; then $\binom{m}{n} \equiv 0 \pmod{p}$.

Lemma 4. Let $p \in A$ be irreducible and monic with $\deg p = d$. Let γ be the $t^{q^{k+1}-1}$ -coefficient of $c_n G_{n,p^m}(p^m t)$; then $\gamma \in A$. Furthermore, if $n = (q^{md+k} + q^{k-1} + \dots + 1)(q - 1)$, then $\gamma = c_n p^{m(q^k-1)}$, and if $n \neq (q^{md+k} + q^{k-1} + \dots + 1)(q - 1)$, then $\gamma \equiv 0 \pmod{p^{m(q^k-1)+q^k}}$.

Proof. We first observe that, from Proposition 1, it follows that $c_n = 0$ for $n \not\equiv 0, q^k - q^{k-1}, q^k - q^{k-2}, \dots, q^k - 1 \pmod{q^k(q-1)}$. Now, if $n \equiv 0, q^k - q^{k-1}, q^k - q^{k-2}, \dots, q^k - q \pmod{q^k(q-1)}$, then $G_{n,p^m}(X)$ is a q th power of some polynomial in $K[X]$. In view of property $G_{qn,p^m}(X) = (G_{n,p^m}(X))^q$ of Goss polynomials, we have to study only $G_{n,p^m}(p^m t)$ for $n \equiv q^k - 1 \pmod{q^k(q-1)}$; in this case, $c_n \in A$ (this follows from the formula for E_{q^k-1} given in Eq. (6)).

Let us consider the following explicit formula for G_{n,p^m} (cf. [2, Eq. (3.8), p. 676]). Let $\rho_{p^m}(X) = \sum_{0 \leq i \leq md} l_i X^{q^i}$, $\alpha_i = l_i/p^m$; then

$$G_{r+1,p^m}(X) = \sum_{j \leq r} \sum_{\underline{i}} \binom{j}{\underline{i}} \alpha^{\underline{i}} X^{j+1}, \tag{11}$$

where $\underline{i} = (i_0, \dots, i_{md})$ runs over the set of $(md + 1)$ -tuples satisfying $i_0 + \dots + i_{md} = j$ and $i_0 + i_1 q + \dots + i_{md} q^{md} = r$, $\alpha^{\underline{i}} = \alpha_0^{i_0} \dots \alpha_{md}^{i_{md}}$ and $\binom{j}{\underline{i}} = j!/(i_0! \dots i_{md}!)$.

Let β be the $t^{q^{k+1}-1}$ -coefficient of $G_{r+1,p^m}(p^m t)$. Then

$$\beta = \sum_{\underline{i}} \binom{j}{\underline{i}} \alpha_0^{i_0} \dots \alpha_{md}^{i_{md}} p^{m(q^{k+1}-1)},$$

where $1 + i_0 + \dots + i_{md} = j + 1 = q^{k+1} - 1$ and $i_0 + i_1 q + \dots + i_{md} q^{md} = r$. In the sequel, we will assume that $r + 1 \equiv q^k - 1 \pmod{q^k(q - 1)}$, which is the only case that we have to study. From Eq. (1), it follows that $\alpha_0 = 1$, $\alpha_{md} = 1/p^m$, and $p^{m-1} \alpha_i \in A$, for $i = 1, \dots, md - 1$. Thus, if $\underline{i} = (i_0, \dots, i_{md})$ satisfies $(m - 1)(i_1 + \dots + i_{md-1}) + mi_{md} \leq mq^k(q - 1) - q^k$, then $\alpha_0^{i_0} \dots \alpha_{md}^{i_{md}} p^{m(q^{k+1}-1)} \in A$ and

$$\alpha_0^{i_0} \dots \alpha_{md}^{i_{md}} p^{m(q^{k+1}-1)} \equiv 0 \pmod{p^{m(q^k-1)+q^k}}.$$

Assume now that $\underline{i} = (i_0, \dots, i_{md})$ satisfies

$$(m - 1)(i_1 + \dots + i_{md-1}) + mi_{md} > mq^k(q - 1) - q^k. \tag{12}$$

It follows that $i_1 + \dots + i_{md} > q^k(q - 2)$ and so $i_0 \leq 2q^k - 3$. We now divide $1 + i_0 + i_1 q + \dots + i_{md} q^{md}$ by $q^k(q - 1)$ considering q as an indeterminate. The remainder of this division is

$$\begin{aligned} & (i_{md} + \dots + i_k)q^k + i_{k-1}q^{k-1} + \dots + i_1 q + i_0 + 1 \\ & = (q^{k+1} - 2 - (i_0 + \dots + i_{k-1}))q^k + \dots + i_1 q + i_0 + 1. \end{aligned}$$

Since $r + 1 \equiv q^k - 1 \pmod{q^k(q - 1)}$, we get the congruence

$$i_{k-1}(q^k - q^{k-1}) + \dots + i_1(q^k - q) + (i_0 + 2)(q^k - 1) \equiv 0 \pmod{q^k(q - 1)}.$$

From this congruence, the following sequence of congruences is easily derived:

$$\begin{aligned}
 i_0 + 2 &\equiv 0 \pmod q, \\
 qi_1 + (i_0 + 2) &\equiv 0 \pmod{q^2}, \\
 &\vdots \\
 q^{k-1}i_{k-1} + \dots + qi_1 + (i_0 + 2) &\equiv 0 \pmod{q^k}. \tag{13}
 \end{aligned}$$

Assume that $\binom{j}{\underline{i}} \neq 0$ as an element in \mathbb{F}_q . Then, since $v_p(j - i_0) = v_p(i_0 + 2)$ (recall that $j = q^{k+1} - 2$), applying the claim of Remark 3 and the fact that $v_p(\varepsilon!) \geq v_p((\varepsilon - \delta)!) + v_p(\delta!)$ for any $\varepsilon, \delta \in \mathbb{N}$, with $\delta < \varepsilon$, we conclude that

$$v_p(i_1) \geq v_p(i_0 + 2), \dots, v_p(i_{k-1}) \geq v_p(i_0 + 2).$$

Thus, $v_p(i_1) \geq v_p(i_0 + 2) \geq v_p(q)$; but now, the second congruence of Eq. (13) implies that $v_p(i_0 + 2) \geq v_p(q^2)$, and so $v_p(i_1) \geq v_p(q^2), \dots, v_p(i_{k-1}) \geq v_p(q^2)$. Using the rest of the congruences of Eq. (13), we can repeat this argument recursively and we conclude that $v_p(i_0 + 2) \geq v_p(q^k)$. Since $i_0 \leq 2q^k - 3$, one gets that $i_0 = q^k - 2$; hence, by Eq. (12), $i_{md} > q^k(q - 2)$. Now, applying Remark 3 again and the fact that $v_p(\varepsilon!) \geq v_p((\varepsilon - \delta)!) + v_p(\delta!)$, it holds that $i_{md} = q^k(q - 1)$.

In summary, we have that if (i_0, \dots, i_{md}) satisfies the condition of Eq. (12), then

$$\binom{j}{\underline{i}} \alpha_0^{i_0} \dots \alpha_{md}^{i_{md}} \mathfrak{p}^{m(q^{k+1}-1)} = \begin{cases} \mathfrak{p}^{m(q^k-1)} & \text{if } i_0 = q^k - 2 \text{ and } i_{md} = q^{k+1} - q^k, \\ 0 & \text{if } i_0 \neq q^k - 2 \text{ or } i_{md} \neq q^{k+1} - q^k. \end{cases}$$

This finishes the proof of the lemma. \square

Lemma 5. *Let $\mathfrak{p} \in A$ be an irreducible polynomial with $\deg \mathfrak{p} = d$, and let $m, a \in \mathbb{N}$. Let γ be the $t^{q^{k+1}-1}$ -coefficient of $\mathfrak{p}^{a(q^k-1)} c_n G_{n, \mathfrak{p}^{m-a}}(\mathfrak{p}^{m-a} t_{\mathfrak{p}^a})$ (c_n as in the proof of Theorem 2). If $ad > 1$, then $\gamma = 0$. If $d = 1$ and $a = 1$, then $\gamma \in A$ and $\gamma \equiv 0 \pmod{\mathfrak{p}^{m(q^k-1)+1}}$.*

Proof. As in the proof of Lemma 4, we only have to study the expressions $G_{n, \mathfrak{p}^{m-a}}(\mathfrak{p}^{m-a} t_{\mathfrak{p}^a})$ for $n \equiv q^k - 1 \pmod{q^k(q - 1)}$.

Let $t_{\mathfrak{p}^a} = t^{q^{ad}}/f_{\mathfrak{p}^a}(t)$. The term $t^{q^{k+1}-1}$ may occur in $t_{\mathfrak{p}^a}^i$ only if $i \leq q^{k-ad+1} - 1$. Let β be the X^i -coefficient of the polynomial $G_{n, \mathfrak{p}^{m-a}}(X)$, $i \leq q^{k-ad+1} - 1$, and let $\rho_{\mathfrak{p}^{m-a}}(X) = \sum_{0 \leq r \leq (m-a)d} l_r X^{qr}$, $\alpha_r = l_r/\mathfrak{p}^{m-a}$. Using the explicit formula for $G_{n, \mathfrak{p}^{m-a}}(X)$ (see Eq. (11)), we find that

$$\beta = \sum_i \binom{j}{\underline{i}} \alpha_0^{i_0} \dots \alpha_{(m-a)d}^{i_{(m-a)d}},$$

where $1 + i_0 + \dots + i_{(m-a)d} = j + 1 = i \leq q^{k-ad+1} - 1$ and $i_0 + i_1q + \dots + i_{(m-a)d}q^{(m-a)d} = n - 1$. Assume that $\binom{j}{i} \neq 0$ as an element in \mathbb{F}_q ; as in the proof of Lemma 4, the congruences of Eq. (13) imply that $i_0 + 2 \equiv 0 \pmod{q^k}$, so $i_0 \geq q^k - 2$. Hence, since $i_0 + \dots + i_{(m-a)d} \leq q^{k-ad+1} - 2$, if $ad > 1$, then $\binom{j}{i} = 0$ as an element in \mathbb{F}_q , and therefore $\beta = 0$. This proves the first claim of the lemma.

Assume now that $a = 1$ and $d = 1$. By the previous argument, either $\binom{j}{i} = 0$ or $i_0 = i - 1 = q^k - 2$. In this last case, we have that $n = q^k - 1$ and $\beta = 1$. Now, since $t_p = t^q / (1 + pt^{q-1})$, we have that

$$(p^{m-1}t_p)^{q^k-1} = p^{(m-1)(q^k-1)}(t^{q^{k+1}-q} + pt^{q^{k+1}-1} + \dots).$$

Hence, the $t^{q^{k+1}-1}$ -coefficient of expression $p^{(q^k-1)}c_{q^k-1}G_{q^k-1,p^{m-1}}(p^{m-1}t_p)$ is $c_{q^k-1}p^{m(q^k-1)+1}$. Finally, $c_{q^k-1} \in A$ (this follows from the formula for E_{q^k-1} given in Eq. (6)). \square

Remark 6. Following [2], we define the form $g_k = (-1)^{k+1}\pi^{1-q^k}L_kE_{q^k-1}$. It follows that the expansion of g_k with respect to s satisfies that $g_k = 1 + b_1s + \dots$, and the coefficients of this expansion belong to A . The form g_k satisfies the congruences of Theorem 2; the question is whether it also satisfies “strong” congruences like the discriminant function (cf. [5, Theorem 1, p. 1056]); the answer is no. For example, if we take $k = 1$, the form $g_1 = g$ should satisfy the following: for $\deg p = 1$ and each $m \in \mathbb{N}$,

$$b_{mq^2+1} \equiv b_{mq+1} \pmod{p},$$

and for $\deg p = d > 1$ and each $m \in \mathbb{N}$,

$$b_{mq^{d+1}+1} \equiv b_{mq+1} \pmod{p^q}.$$

However, g does not satisfy these congruences in general: for example, the second congruence is not satisfied for $q = 3$, $m = 4$ and any irreducible polynomial p with $\deg p = 2$.

As a corollary of Theorem 2, we determine, up to a factor of degree $q^k - q$, the difference $a_{q^{d+k}+q^{k-1}+\dots+1} - a_{q^k+\dots+q+1}$.

Corollary 7. *Let $d \in \mathbb{N}$. We have that*

$$a_{q^{d+k}+q^{k-1}+\dots+1} = a_{q^k+\dots+q+1} - \frac{[d]^{q^k}P_d(T)}{[1]^{q^k-1}},$$

with P_d monic and $\deg P_d = q^k - q$. For $q = 2$, $a_{q^k+\dots+q+1} = 1$, and for $q > 2$, $a_{q^k+\dots+q+1} = 0$.

Proof. Let p be irreducible and monic. Let $d \in \mathbb{N}$; by Theorem 2, if $\deg p = 1$, then $a_{q^{d+k}+q^{k-1}+\dots+1} \equiv a_{q^k+\dots+q+1} \pmod p$; if $\deg p > 1$ and $\deg p | d$, then $a_{q^{d+k}+q^{k-1}+\dots+1} \equiv a_{q^k+\dots+q+1} \pmod{p^{q^k}}$. Thus, since $[d] = \prod_{\substack{p \text{ monic, prime} \\ \deg p | d}} p$, we have that

$$a_{q^{d+k}+q^{k-1}+\dots+1} \equiv a_{q^k+\dots+q+1} \pmod{\frac{[d]^{q^k}}{[1]^{q^k-1}}}.$$

We now determine the coefficient $a_{q^k+\dots+q+1}$ by using the formula for E_{q^k-1} given in Eq. (6). An easy analysis of that formula allows us to conclude that $a_{q^k+\dots+q+1}$ equals the $s^{q^k+\dots+q+1}$ -coefficient of $-\sum_{\substack{\alpha \text{ monic} \\ \deg \alpha = 1}} t_\alpha^{q^k-1}$. By Corollary 3.11 of [2, p. 677], we get that

$$-\sum_{\substack{\alpha \text{ monic} \\ \deg \alpha = 1}} t_\alpha^{q^k-1} = -\sum_{0 \leq i < k} \frac{s^{q^{k+1}-q^{i+1}+\frac{q^k-1}{q-1}}}{(1-s^{q-1}+[1]s^q)^{q^k-q^i}}. \tag{14}$$

If $q = 2$ and $i = k - 1$, then $q^{k+1} - q^{i+1} + \frac{q^k-1}{q-1} = q^k + \dots + q + 1$, so $a_{q^k+\dots+q+1} = 1$; if $q > 2$, then $q^{k+1} - q^{i+1} + \frac{q^k-1}{q-1} > q^k + \dots + q + 1$, so $a_{q^k+\dots+q+1} = 0$.

Let us now prove that the degree of $a_{q^{d+k}+q^{k-1}+\dots+1}$ is $q^{d+k} - q^{k+1} + q^k$ and its leading coefficient is -1 . The polynomial $a_{q^{d+k}+q^{k-1}+\dots+1}$ is the $s^{q^{d+k}+q^{k-1}+\dots+1}$ -coefficient of $-\sum_{\alpha \text{ monic}} t_\alpha^{q^k-1}$. Let

$$C_r = -\sum_{\substack{\alpha \text{ monic} \\ \deg \alpha = r}} t_\alpha^{q^k-1} = -s^{q^{k+r-1}+\dots+q^r} \sum_{\substack{\alpha \text{ monic} \\ \deg \alpha = r}} 1/f_\alpha^{q^k-1}.$$

The $f_\alpha = 1 + b_1s + \dots$, considered as power series in s , satisfy $\deg b_j \leq j$, and so the sum $\sum_{\alpha \text{ monic}} 1/f_\alpha^{q^k-1}$ also satisfies this condition. Hence, if we denote by γ_d the $T^{q^{d+k}-q^{k+1}+q^k}$ -coefficient of $a_{q^{d+k}+q^{k-1}+\dots+1}$, the terms C_r for $r > 1$ do not contribute to γ_d . Thus, in order to determine γ_d , we only have to study C_1 (an expression of it is given in Eq.(14)); in this case, the only term that contributes to γ_d is

$$-\frac{s^{q^{k+1}-q^k+\frac{q^k-1}{q-1}}}{(1-s^{q-1}+[1]s^q)^{q^k-q^{k-1}}}. \text{ Hence, if}$$

$$(1-s^{q-1}+[1]s^q)^{q^k-1-q^k} = \sum_{n \geq 0} \delta_n s^n, \tag{15}$$

$-\gamma_d$ is the $T^{q^{d+k}-q^{k+1}+q^k}$ -coefficient of $\delta_{q^{d+k}-q^{k+1}+q^k}$. Now, since the degree of the s^{q-1} -coefficient of $1-s^{q-1}+[1]s^q$ is less than $q-1$, in order to calculate γ_d , we can omit

the summand $-s^{q-1}$ in the left-hand side of Eq. (15). Thus, if

$$\begin{aligned} & (1 + [1]s^q)^{q^{k-1}-q^k} \\ &= (1 + [1]^{q^{k-1}}s^{q^k})(1 - [1]^{q^k}s^{q^{k+1}} + [1]^{2q^k}s^{2q^{k+1}} - \dots) = \sum_{n \geq 0} \varepsilon_n s^n, \end{aligned} \quad (16)$$

then $-\gamma_d$ is the $T^{q^{d+k}-q^{k+1}+q^k}$ -coefficient of $\varepsilon_{q^{d+k}-q^{k+1}+q^k}$. From Eq. (16), we easily deduce that this last coefficient is equal to 1, and so $\gamma_d = -1$. \square

Remark 8. Corollary 7 does not give much information on the polynomial $P_d(T)$. In principle, it may depend on d ; nevertheless, we have computed in some cases the expansion of E_{q^k-1} up to certain bounds, and in all the examples the polynomial $P_d(T)$ equals $[k]/[1]$.

References

- [1] E.U. Gekeler, Drinfeld Modular Curves, in: Lecture Notes in Mathematics, Vol. 1231, Springer, Berlin, 1986.
- [2] E.U. Gekeler, On the coefficients of Drinfeld modular forms, *Invent. Math.* 93 (1988) 667–700.
- [3] E.U. Gekeler, Growth order and congruences of coefficients of the Drinfeld discriminant function, *J. Number Theory* 77 (1999) 314–325.
- [4] D. Goss, Modular forms for $\mathbb{F}_r[T]$, *J. Reine Angew. Math.* 317 (1980) 16–39.
- [5] B. López, A congruence for the coefficients of the Drinfeld discriminant function, *C. R. Acad. Sci. Paris* 330 (2000) 1053–1058.